

Laboratory Quality Assurance

(Through the Lens of the Bethesda System for Reporting Thyroid Cytopathology)



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Disclosure Statement

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Editor-in-chief:

Journal of the American Society of Cytopathology (JASC)



ARE YOU A GOOD CYTOPATHOLOGIST?



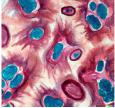




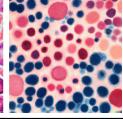
















CYP.00125 PT Participation - Gynecologic Cytopathology

Phase I

For laboratories subject to US regulations that perform gynecologic cytopathology, the laboratory and all individuals who examine gynecologic preparations participate in the CAP Gynecologic Cytology PT Program (PAP PT) or another proficiency testing program in gynecologic cytopathology approved by the Centers for Medicare and Medicaid Services (CMS).

CYP.06850 Correlation of Results - Non-gynecologic Cytopathology

Phase II

The cytologic diagnoses for non-gynecologic cytopathology cases are correlated with the results of specialized studies (eg, molecular studies, immunocytochemistry).

CYP.07675 Correlation of Results - Non-Gynecologic Cytopathology

Phase II



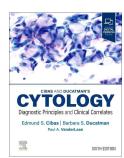
Non-gynecologic cytopathology findings are correlated with histological and clinical findings, when appropriate.



CLIA '88

ANNUAL STATISTICS MUST DOCUMENT:

- The number of cytology cases examined
- The number of specimens by specimen type (e.g., urine, sputum, etc.)
- The volume of cases by diagnosis (e.g., negative, atypical, suspicious, positive)
- The number of unsatisfactory cases
- The number of Pap tests with discrepant histologic results
- The number of negative Pap tests that were reclassified as abnormal
- The number of Paps reported as HSIL, adenocarcinoma, or other malignant neoplasm with no histologic follow-up





Can laboratory statistics be used to ensure practice patterns are in line with accepted norms?

Quality Metrics to Assess Cytopathology Practice Patterns: Focus on Thyroid Fine-Needle Aspiration Cytology

Paul A. Vander Laan, MD, PhD

For a cytology laboratory, performance metrics should be chosen based on 3 key features. The measures should:

- (1) be based on data that are relatively easily obtained,
- (2) monitor aspects of practice that can impact patient care,
- (3) provide information to help explain cytologist practice patterns, hopefully with insights as how to correct any values that might fall outside standard practice norms.

Key Cytopathology Quality Measures



Adhere to established diagnostic criteria



Monitoring diagnostic category utilization rates

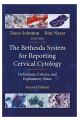


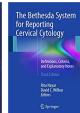
Surgical follow up → local ROM



Incorporate molecular testing results





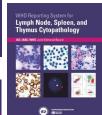






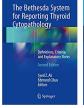
Cytology Reporting Systems







for Reporting Urinary Cytology



The Paris System

for Reporting Urinary Cytology





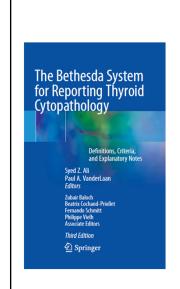






WHO books in the works:

Soft tissue, Liver, Breast, Kidney/Adrenal, Head and Neck



Criteria

Specimens are moderately to markedly cellular.

The sample consists exclusively (or almost exclusively) of **oncocytes**:

- Abundant finely granular cytoplasm (blue or gray-pink with Romanowsky stains, green with Papanicolous, pink with hematoxylin and costa). Enlarged, central or eccentrically located, round nucleus. Prominent nucleolus.

 Prominent nucleolus.

 Small onecoytes with high nuclear/cytoplasmic (NC) ratio.

 Large onecoytes with agis nuclear/cytoplasmic (NC) ratio.

 Small onecoytes with high nuclear/cytoplasmic (NC) matio.

 Bimucleation is fairly common.

 There is usually little or no colloid.

 There are virtually no lymphocytes (excluding blood elements) or plasma cells.

 Transgressing wesels are present in some cases as well as intracytoplasmic "colloid" inclusions (lumens) [22].

Explanatory Notes

The FN-OFN aspirate is at least moderately cellular (Fig. 6.1), and excluding blood clements, is composed almost exclusively of oncocytes (Fig. 6.2) [8, 23-26]. Sparsely cellular samples do not qualify for this interpretation, and a diagnosis of AUS should be considered in this scenario instead. A small number of benign follicular cells may be present, but this is uncommon and usually represents sampling of the adjacent throroid tissue. Smillarly, lymphocytes are usually absent or rare. The oncocytes are often dispersed as isolated cells (Fig. 6.3) or as irregular three-dimensional groups (Fig. 6.2) [25, 26] Oncocytic cells forth solve arrival, of which there are two dominant types. The atypia can be in the form of very large cells with

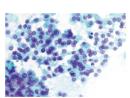
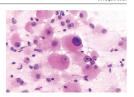
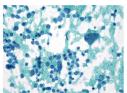


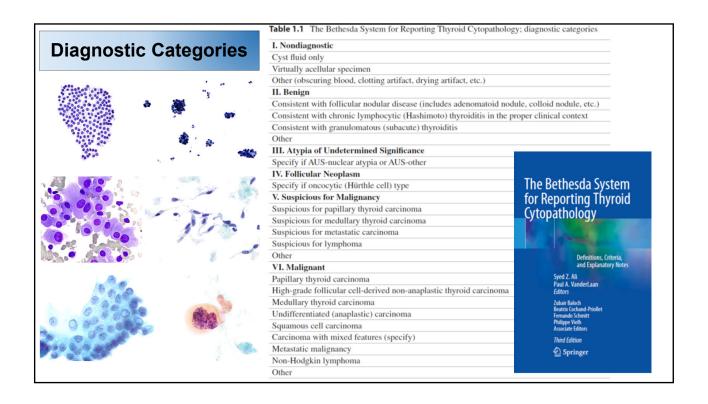
Fig. 6.2 Follicular

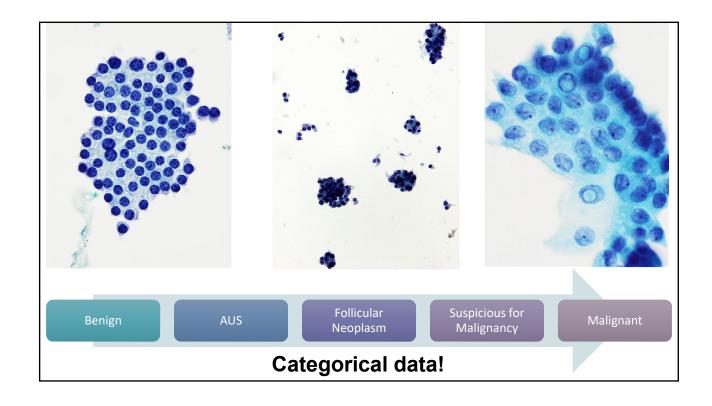




abundant granular cytoplasm that demonstrate at least twofold variability in nuclear size (Figs. 6.4 and 6.5) or relatively smaller oncocytes notable for less abundant granular cytoplasm and a higher nuclear to cytoplasmic ratio than the former (Figs. 6.6 and 6.7) [19, 27, 29]. Admixtures of small and large oncocytes are seen in figs. 6.6 and 6.7) [19, 27, 28]. Admixtures of small and large oncocytes are seen in which are supported to the company of the diagnosis, since very marked hyperchromasis, anisomeleosis, able feature for the diagnosis, since very marked hyperchromasis, anisomeleosis, and nuclear membrane irregulatively of oncocytes can be seen in NMG and LT [8]. Cellular cases lacking oncocytic atripina a rese subset of noccytic carcinomas with colloid has been described [28, 29]. Transgressing vessels are present in some cases and strongly support the diagnosis of a neoplasm vera a non-inceptasic/inceptastic proliferation (Figs. 6.10 and 6.11) [22].

When an aspirate has all (or most) of the aforementioned features, the diagnosis of the private has a light of most) of the aforementioned features, the diagnosis of the best way to handle oncocytic proliferations in a patient with MNG or LT, and (3) the distinction from





REVIEW

Quality Metrics to Assess Cytopathology Practice Patterns: Focus on Thyroid Fine-Needle Aspiration Cytology

Paul A. Vander Laan, MD, PhD

Vander Laan

AJSP: Reviews & Reports • Volume 27, Number 4, July/August 2022

 TABLE 1. Summary of Potential Cytology Laboratory Performance Metrics for Monitoring Thyroid FNA Specimens

Performance Metric	Example	Strengths	Limitations		
Diagnostic category utilization rate	AUS rate, 12.2% (upper limit 10%)	+ Straightforward metric + Easy to calculate + Component of other more advanced metrics	May not explain why practice deviates from norms May be unduly impacted by low specimen volume		
Diagnostic category ratios	AUS:M ratio, 2.8 (upper limit, 3.0)	+ Straightforward metric + Easy to calculate + Corrects for local prevalence of disease	Lacks widespread validation Can introduce additional source of error/variance May not explain why practice deviates from norms		
Surgical outcome data	AUS ROM, 38% (expected range, 20%–30%)	+ Gold standard + Provides ultimate cytologic-histologic correlation + Enables local ROM calculation	 Not all nodules are resected (verification bias) Subjectivity on resection specimen classification Temporal gap between FNA and resection (lagging indicator) Data collection labor intensive 		
Combined diagnostic category rate/ratios with molecular testing results	High AUS rate (18%) with low- molecular- testing abnormal rate	Includes data from larger number of cases Usually binary result for molecular testing May provide insights into practice patterns	Relies on surrogate end points Inferior to gold standard of surgical outcome data New metric, additional validation needed		

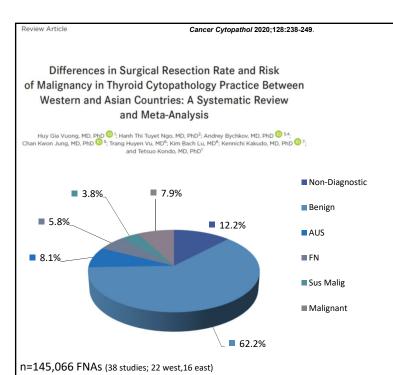
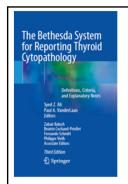


TABLE 3. Resection Rate and Risk of Malignancy for 6 Categories of The Bethesda System for Reporting Thyroid Cytopathology in Western and Asian Series

	Pooled Proportion (95% CI), %			
FNA Category	Western Series (n = 22)	Asian Series (n = 16)	P^{a}	
Nondiagnostic				
Frequency	11.9 (9.1-14.7)	12.6 (6.7-18.5)	.827	
RR	14.9 (11.4-18.5)	11.5 (7.8-15.2)	.896	
ROM	13.2 (9.6-16.7)	26.5 (16.4-36.6)	.151	
Benign				
Frequency	64.2 (60.0-68.4)	59.8 (51.6-67.9)	.353	
RR	11.0 (8.4-13.5)	16.0 (8.3-23.6)	.235	
ROM	4.1 (2.8-5.4)	13.8 (9.0-18.6)	.001	
AUS/FLUS				
Frequency	7.7 (5.1-10.2)	8.4 (5.5-11.4)	.647	
RR	40.5 (32.2-48.8)	29.5 (21.0-38.0)	.354	
ROM	21.5 (17.0-26.0)	45.0 (33.4-56.5)	.001	
FN/SFN				
Frequency	7.9 (5.7-10.1)	3.5 (1.9-5.1)	.008	
RR	63.4 (55.6-71.1)	55.5 (46.2-64.8)	.078	
ROM	27.3 (24.4-30.2)	32.8 (27.5-38.1)	.335	
Suspicious for malignancy				
Frequency	3.3 (2.6-4.1)	4.3 (2.6-6.1)	.291	
RR	72.6 (65.4-79.9)	65.4 (56.4-74.4)	.310	
ROM	75.1 (69.8-80.4)	88.1 (82.8-93.4)	.033	
Malignant	,			
Frequency	4.9 (3.8-6.0)	10.9 (7.1-14.7)	.007	
RR	74.8 (68.2-81.5)	68.6 (58.3-78.9)	.314	
ROM	99.2 (98.8-99.5)	98.6 (97.6-99.5)	.633	

Abbreviations: AUS/FLUS, atypia of undetermined significance/follicular lesion of undetermined significance; FNA, fine needle aspiration; FN/SFN, follicular neoplasm/suspicious for follicular neoplasm; ROM, risk of malignancy; PR. preceition refe



Explanatory Notes

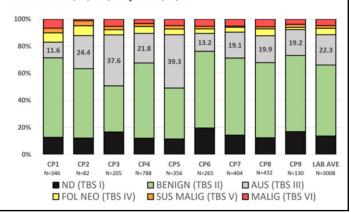
AUS usage varies widely; this interpretation has been reported to account for as little as 1% to over 20% of thyroid FNAs [3]. Many initial studies of AUS were retrospective, with pre-TBSRTC terminology retrofitted to TBSRTC categories. Despite efforts to define this category and provide specific criteria. AUS has, at best, only fair reproducibility [22, 23]. A provisional goal of limiting AUS interpretations to approximately 7% of all thyroid FNAB interpretations was proposed in the first edition of TBSRTC atlas [1]. Since many laboratories struggled to achieve this figure, an upper limit of 10% was adopted as a more achievable target in the second edition and remains a reasonable figure [27]. Additionally, it has also been proposed that the AUS:Malignant ratio may be a useful laboratory quality measure that should not exceed 3.0 [43]. Other quality measures involving the AUS rate of the overall laboratory or individual practitioners have been proposed as well, including correlation of AUS rates with molecular testing outcomes [44].

TBSRTC recommends subclassification of AUS to improve risk stratification of malignancy and enable guidance for the next step in patient management: repeat



Molecular testing results as a quality metric for evaluating cytopathologists' utilization of the atypia of undetermined significance category for thyroid nodule fine-needle aspirations

Paul A. VanderLaan, MD, PhD*, Michiya Nishino, MD, PhD



The Atypia of Undetermined Significance/Follicular Lesion of Undetermined Significance:Malignant Ratio

A Proposed Performance Measure for Reporting in The Bethesda System for Thyroid Cytopathology

Jeffrey F. Krane, MD, PhD¹; Paul A. VanderLaan, MD, PhD¹; William C. Faquin, MD, PhD²; and Andrew A. Renshaw, MD³

Cancer Cytopathology April 25, 2012

 Table 4. Potential Performance Measures and Laboratory Data

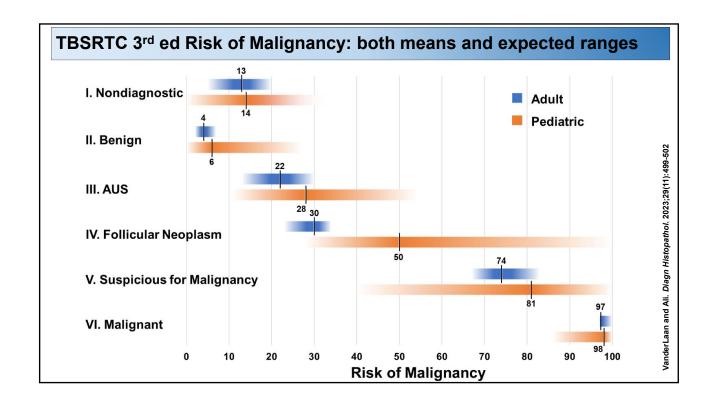
Utility of using internally derived statistics

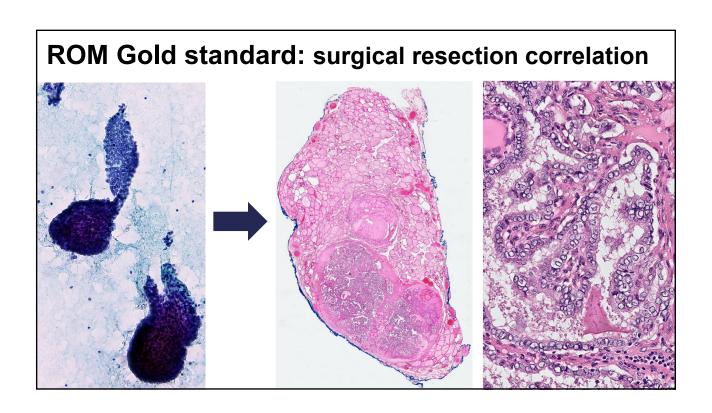
~ ASCUS:SIL ratio Pap Smears

AUS:M ≤ 3.0

	Ratios		Category			
Study	AUS:M	(AUS+SusF+SUS):M	AUS, %	SusF, %	SUS, %	Malignant, %
Jo 2010 ⁵	0.5	2.2	3.4	9.7	2.3	7.0
Theoharis 2009 ¹³	0.6	1.9	3.0	5.5	1.3	5.2
Kim 2011 ⁶	1.0	1.5	16.3	1.2	6.2	16.2
Renshaw 2010 ¹²	1.8	4.3	7.7	8.6	1.8	4.2
VanderLaan 2011 ¹⁴	2.1	3.8	10.9	4.2	4.5	5.2
Faquin (unpublished data)	2.6	3.9	10.0	2.0	3.2	3.9
Nayar & Ivanovic 20099	3.6	5.2	17.8	5.9	1.9	4.9
Marchevsky 2010 ⁸	4.9	6.8	9.8	1.5	2.3	2.0

Abbreviations: AUS, atypia of undetermined significance/follicular lesion of undetermined significance; M, malignant; SUS, suspicious for malignancy; SusF, suspicious for a follicular or Hurthle cell neoplasm.

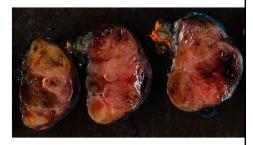




Issues with surgical end points

- Not all nodules are resected (especially Thyroid -AUS)
 - √ Vérification bias
- Additional layer of diagnostic subjectivity by the surgical pathologist
 - ✓ Multiple degrees of freedom to control
- Temporal gap between FNA and resection
 ✓ Lagging outcome indicator
- Surgical outcome data is a labor-intensive manual process
 - ✓ Worth the effort?

Are there viable alternatives?





Molecular testing in cytopathology: a suitable <u>surrogate</u> endpoint?

→Between 2010 and 2012, the FDA approved 45 percent of new drugs on the basis of a surrogate endpoint.

Molecular testing – suitable surrogate endpoint?

Advantages:

TAT: Molecular testing done concurrently (or reflexively) with cytology.

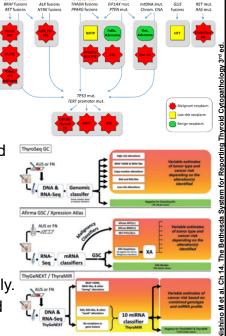
Accuracy: Many genomic alterations are highly correlated with malignancy/neoplasia.

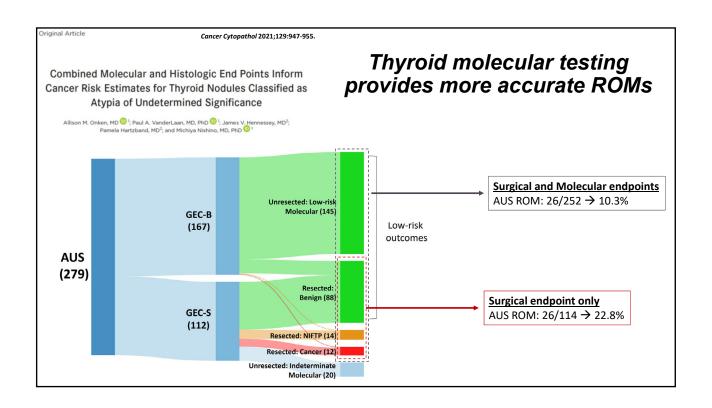
Disadvantages:

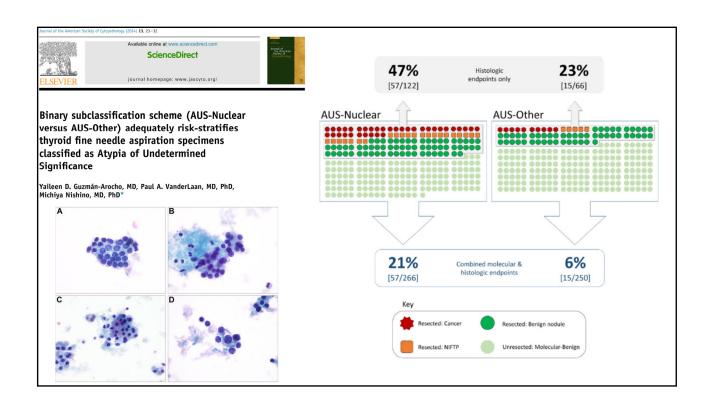
Cost: Molecular tests can be expensive, and their widespread use may increase healthcare costs.

Technical Expertise: Adequate technical expertise is required to perform and interpret molecular tests accurately.

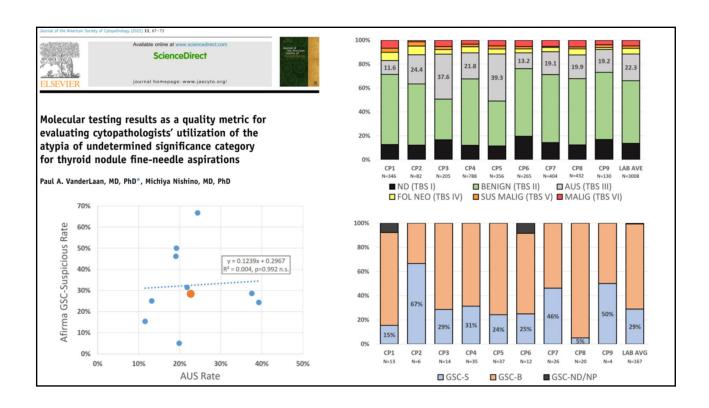
Standardization: Standardization of testing protocols and reporting guidelines is essential for consistent results.

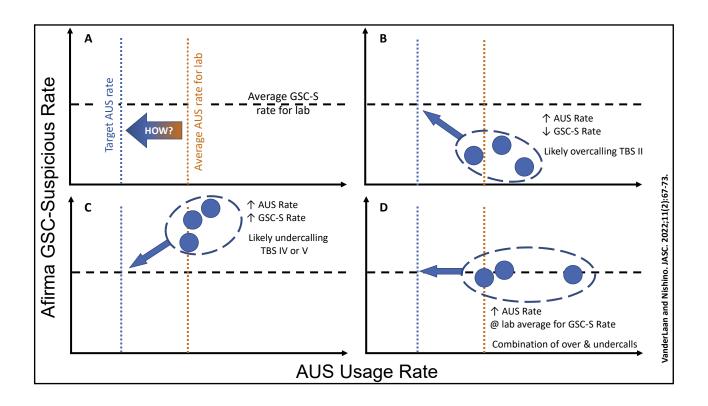


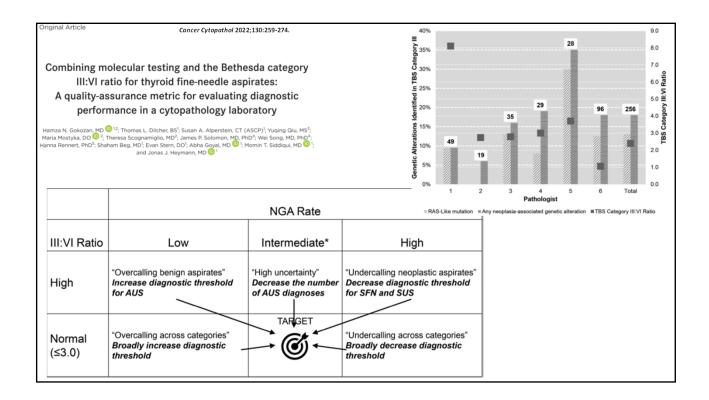


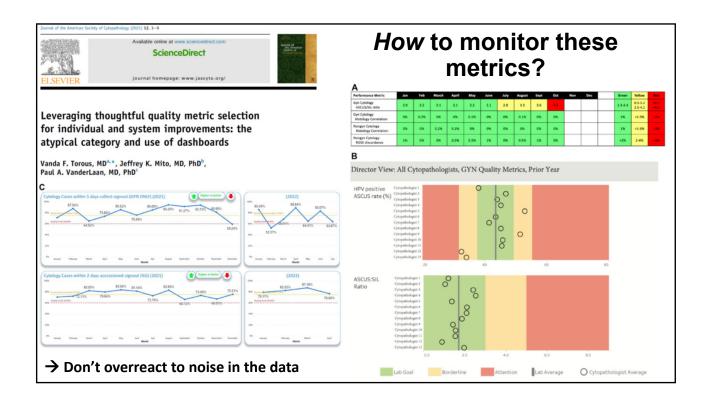


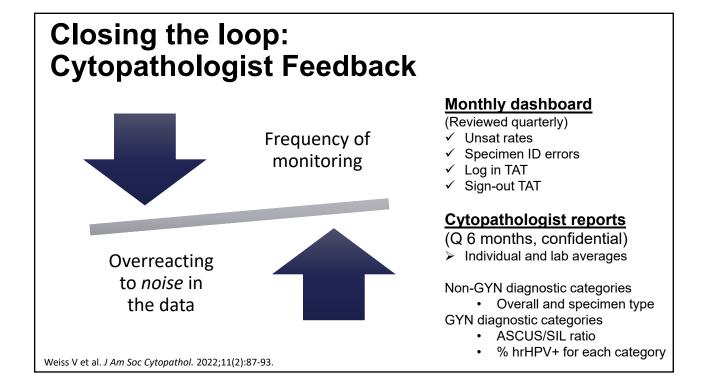
Can you use metrics to help explain WHY practice patterns may deviate from targets?













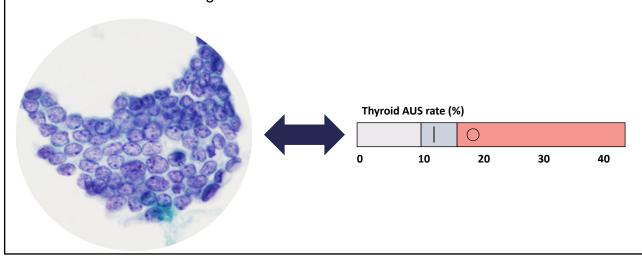




Statistics mean nothing to the individual

Inherent tension

ightharpoonup Diagnosing what you see on each slide, while being mindful of individual tendencies to overcall/undercall findings



Summary

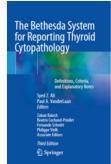
Cytology laboratory as model for quality assurance monitoring

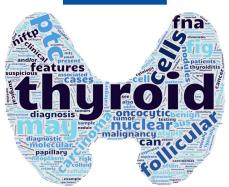
Standardized reporting systems (categorical reporting)
-combined with-

Histologic (molecular testing) outcomes

Thoughtful evaluation of metrics can:

- √ Facilitate monitoring of cytopathologist performance
- ✓ Explain WHY practice patterns may deviate from the accepted norms
- ✓ Provide feedback to improve/modify practice









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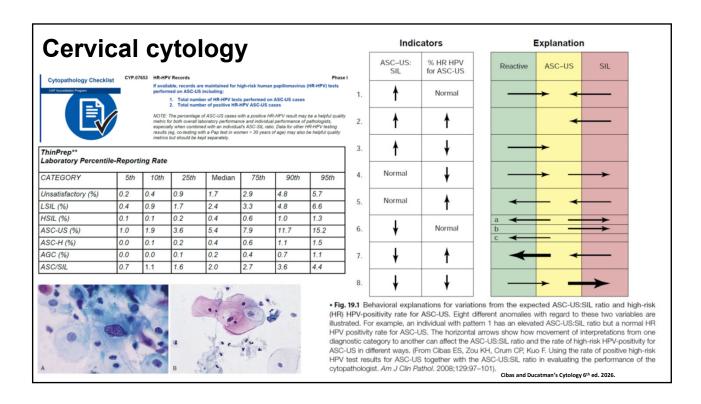




Extras...

Application of molecular testing QA metrics to other specimens?

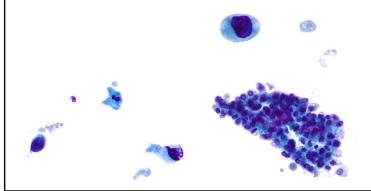
Most useful for monitoring the Indeterminate categories



Application of molecular testing QA metrics to other specimens?

Urine cytology

- Atypical rate
- Correlation with ancillary testing



Ancillary Techniques

ANCILLARY TECHNIQUES

- DNA aneuploidy (flow cytometry, image analysis)
- Bard bladder tumor antigen test
- Nuclear matrix protein NMP22 test
- Telomerase assays
- Microsatellite instability assays
- Hyaluronidase and hyaluronic acid
- Growth factors
 - Acidic fibroblast growth factor
 Basic fibroblast growth factor
 - Autocrine motility factor
 - Epidermal growth factor
 - Transforming growth factor-beta
- Cell adhesion molecules
- Fibrinogen degradation products
- Tumor-associated and blood group antigens
- FISH
- Cell-free microRNA
- Long noncoding RNA
- Next-generation sequencing assays
- Immunohistochemistry

Application of molecular testing QA metrics to

